Commission civile d'examen et de traitement des plaintes relatives à la GRC

Privacy Act

Annual Report

Civilian Review and Complaints Commission for the Royal Canadian Mounted Police

2014-2015

Civilian Review and Complaints Commission for the RCMP

Privacy Reporting for 2014–2015

1. INTRODUCTION

The *Privacy Act* (Act) provides individuals with a right of access to their personal information and protects the privacy of individuals with respect to personal information under the control of government institutions.

This Annual Report was prepared in accordance with section 72 of the Act, which stipulates that annual reports on privacy shall be tabled in Parliament.

2. ABOUT THE CIVILIAN REVIEW AND COMPLAINTS COMMISSION FOR THE ROYAL CANADIAN MOUNTED POLICE

On November 28, 2014, the Civilian Review and Complaints Commission for the Royal Canadian Mounted Police (CRCC) came into existence pursuant to the Enhancing Royal Canadian Mounted Police Accountability Act, SC 2013, c. 18, s. 35. The CRCC replaced the former Commission for Public Complaints Against the RCMP (CPC), assuming the same mandate but with the addition of supplementary powers and duties. As did its predecessor, the CRCC provides civilian review of RCMP members' conduct in performing their policing duties so as to hold the RCMP accountable to the public.

The CRCC's main role is to take public complaints about members of the RCMP. These complaints are then forwarded to the RCMP for initial investigation as mandated by the *Royal Canadian Mounted Police Act*, however, if the complainant is dissatisfied with the response that he or she receives from the RCMP, the CRCC will review the complaint with a view to determining the reasonableness of the RCMP's response. In appropriate cases, the CRCC will undertake its own investigation or hearing into a complaint. The Chair of the CRCC also has the power to file his or her own complaint if he or she feels that a matter is deserving of investigation.

Additionally, even in the absence of a public complaint, the CRCC is empowered to conduct a review of RCMP activities to evaluate compliance with legislation, regulations, ministerial directions, policy, procedures and/or guidelines.

3. NOTE ABOUT FIGURES

The figures and statistics discussed in this report with respect to the CRCC reflect the combined Access to Information and Privacy (ATIP) activities of both the former CPC (until November 27, 2014) and the new CRCC (as of November 28, 2014) during the reporting period of April 1, 2014 through March 31, 2015.

4. ATIP RESPONSIBILITIES

At the CRCC, the Access to Information and Privacy (ATIP) Unit consists solely of the ATIP Coordinator. The ATIP Coordinator processes all requests from the public and consultations from other departments or agencies. The ATIP Coordinator also provides advice to CRCC employees and senior officials on ATIP-related matters, prepares annual statistical reports, ensures the ongoing accuracy of Info Source, prepares completed Access to Information summaries for proactive disclosure on the CRCC's website, participates in forums for the ATIP community and monitors changes in ATIP policy, guidelines and directives.

When processing requests and consultations under the Access to Information and Privacy Acts, the ATIP Coordinator also benefits from some administrative assistance from personnel in the Information Management Unit of the CRCC.

The Executive Director, under delegated authority from the Minister of Public Safety, provides the final approval for all responses on ATIP requests and consultations.

5. DELEGATION ORDER

The Minister of Public Safety has delegated full authority under the Act to the CRCC Chair and Executive Director. The Minister has also delegated administrative duties and functions to the CRCC ATIP Coordinator. The most recent delegation order was signed by the Minister of Public Safety on January 18, 2012 (see **Annex A**).

6. PRIVACY REQUESTS RECEIVED

During the course of the reporting period, three (3) new Privacy requests under the Act were received and completed. No requests were carried over from the previous year, nor were any carried forward into the next reporting period.

These three (3) new Privacy requests were each from individuals seeking their personal information in records held by the CRCC.

7. STATISTICAL REPORT

The three (3) Privacy requests received by the CRCC in 2014-2015 represents one (1) more than were received last year (i.e. 2 in 2013-2014), but constitutes only half the number that were received the year before that (i.e. 6 in 2012-2013). As these numbers are relatively small, there is little statistical significance that can be drawn from these variances. Of the three (3) requests received during the reporting period, one yielded no records. Of the two (2) requests that did yield records, an exemption was claimed in only one and that was under section 26 (Personal information of a third party) of the Act. External consultation was required for both. Therefore, an extension under sub-paragraph 15(a)(ii) was taken in each of these two (2) cases and both were processed within the extended timeline. The total number of pages processed for these two (2) requests was 423 pages.

A significant number of consultations (i.e. 25) were received from other institutions during the reporting period. Twenty-four (24) of those were completed during the reporting period, plus an additional consultation that had been outstanding from the previous period, resulting in 491 pages processed.

See **Annex B** for the Statistical Report.

8. TRAINING

One staff member in the CRCC's Operations Unit attended three days of ATIP training off-site.

No training was offered in-house during the reporting period.

9. SIGNIFICANT CHANGES

The most significant change during the fiscal year 2014-2015 was the creation of the CRCC, which assumed all of the functions and duties of the former CPC, including all of its outstanding ATIP files. The transition was smooth since the existing staff and premises of the CPC were assumed by the CRCC. Therefore, there was no impact on the public.

The CRCC did not implement any new policies, guidelines or procedures related to Privacy during the reporting period.

10. MONITORING OF TIMELINESS

The CRCC monitors the time to process Privacy requests through its case management software. The ATIP Coordinator keeps track of upcoming deadlines for requests and

REPORT ON THE PRIVACY ACT RAPPORT CONCERNANT LA LOI SUR LA PROTECTION DES RENSEIGNEMENTS PERSONNELS

consultations and advises the Head and senior management on an as-needed basis. This has proven to be an effective means of ensuring timelines are adhered to.

11. PRIVACY IMPACT ASSESSMENTS

No privacy impact assessments were conducted during the reporting period.

12. PRIVACY BREACHES

There were no material privacy breaches at the CPC or CRCC during the reporting period.

13. DISCLOSURES MADE PURSUANT TO PARAGRAPH 8(2)(m) OF THE *PRIVACY* ACT

There were no disclosures made pursuant to paragraph 8(2)(m) of the Act in 2014–2015.

14. COMPLAINTS

No complaints under the Act were filed against the CPC or the CRCC, nor were any audits or investigations conducted, during the reporting period.

ANNEX A Delegation Order

Delegation Order – Privacy Act and Privacy Regulations Arrêté de délégation en vertu de la Loi sur la protection des renseignements personnels et du Règlement sur la protection des renseignements personnels Commission for Public Complaints Against the RCMP/Commission des plaintes du public contre la GRC

The Minister of Public Safety Canada, pursuant to section 73 of the *Privacy Act**, hereby designates the persons holding the positions set out below, or acting in those positions, to exercise the powers and perform the duties and functions of the Minister as the head of a government institution, that is, the Commission for Public Complaints Against the RCMP, under the section of the Act set out opposite each position.

En vertu de l'article 73 de la *Loi sur la protection des renseignements personnels**, le ministre de la Sécurité publique Canada délègue aux titulaires des postes sous mentionnés, ou aux personnes qui occupent ces postes à titre intérimaire, les pouvoirs et les fonctions dont il est, en qualité de responsable d'une institution fédérale, c'est-à-dire le Commission des plaintes du public contre la GRC, investi conformément à l'article de la Loi mentionné à l'égard de chaque poste.

		Chair / Président	Executive Director / Directeur Executif	ATIP Coordinator / Coordonnateur d'AIP
	Act / Loi sur la protection des renseignements personnels			
Section /			1	
8(2)(j)	Disclosure for research purposes / Communication à des fins de recherche	•	•	_
8(2)(m)	Disclosure in the public interest or in the interest of the individual / Communication dans l'intérêt public ou d'une personne	.0.	•	
8(4)	Copies of requests under 8(2)(e) to be retained / Conservation des copies des demandes en vertu de 8(2)(e)	•	• 1	•
8(5)	Notice of disclosure under 8(2)(m) / Avis le de communication dans le cas de 8(2)(m)		•	
9(1)	Record of disclosures to be retained / Conservation d'un relevé des cas d'usage		•	
9(4)	Consistent uses / Usages compatibles		•	
10	Personal information to be included in personal information banks / Renseignements personnels versés dans des fichiers de renseignements personnels	•	•	
14	Notice where access requested / Aviser l'auteur de la demande d'accès	•	•	•
15	Extension of time limits / Prorogation du délai	•	•	
17(2)(b)	Language of access / Version de la communication	•		
17(3)(b)	Access to personal information in alternative format / Communication sur support de substitution	•	•	•
18(2)	Exemption (exempt banks) – Disclosure may be refused / Exception (fichiers inconsultables) – Autorisation de refuser	•	•	

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19(1)	Exemption – Personal information obtained in confidence / Exception – Renseignements personnels obtenus à titre confidentiel	•		
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19(2)	Exemption – Where disclosure authorized /	•	•	
	Exception – Cas où la divulgation est autorisée			
20	Exemption - Federal-provincial affairs /	•	•	
40·10	Exception - Affaires fédéro-provinciales			
21	Exemption - International affairs and defence /	•		
	Exception - Affaires internationales et défense			
22	Exemption - Law enforcement and investigation /	•	•	
	Exception - Application de la loi et enquêtes	_		
22.3	Exemption – Public Servants Disclosure Protection Act /	•	. •	·
	Exception - Loi sur la protection des fonctionnaires divulgateurs d'actes			
23	répréhensibles Exemption – Security clearances / Exception – Enquêtes de sécurité	•	•	
24	Exemption – Individuals sentenced for an offence /	•		-
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25	Exemption – Safety of individuals / Exception – Sécurité des individus	•	•	
26	Exemption – Information about another individual /			
20	Exception – Renseignements concernant un autre individu		- .	
27	Exemption – Solicitor-client privilege / Exception – Secret professionnel des avocats	•	•	
28	Exemption - Medical record / Exception - Dossiers médicaux	•	•	
31	Notice of intention to investigate / Avis d'enquête	•	•	•
33(2)	Right to make representation / Droit de présenter des observations		•	•
35(1)	Findings and recommendations of Privacy Commissioner (complaints) /		6	•
TIT NEE	Conclusions et recommandations du Commissaire à la protection de la vie privée	7	\$7.5	
	(plaintes)			
35(4)	Access to be given / Communication accordée	•	•	•
36(3)	Report of findings and recommendations (exempt banks) /	•	•	•
7 %	Rapport des conclusions et recommandations (fichiers inconsultables)			
37(3)	Report of findings and recommendations (compliance review) /		•	•
	Rapport des conclusions et recommandations du Commissaire (contrôle d'application)			
51(2)(b)	Special rules for hearings / Règles spéciales (auditions)	•	•	
51(3)	Ex parte representations / Présentation d'arguments en l'absence d'une partie		•	
72(1)	Report to Parliament / Rapports au Parlement	•	•	

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Section / Article

9	Reasonable facilities and time provided to examine personal information / Fournir des installations convenables et fixer un moment pour examiner les renseignements personnels	•	•	•
11(2)	Notification that correction to personal information has been made / Avis que les corrections demandées ont été effectuées	•		•
11(4)	Notification that correction to personal information has been refused / Avis que les corrections demandées ont été refusées	•	: Q	6
13(1)	Disclosure of personal information relating to physical or mental health may be made to a qualified medical practitioner or psychologist for an opinion on whether to release information to the requestor / Le cas échéant, autoriser la communication des renseignements personnels concernant l'état physique ou mental de l'individu à un médecin ou à un psychologue en situation légale d'exercice, afin que celui-ci puisse donner son avis quant à savoir si la prise de connaissance de ces renseignements par l'individu lui porterait préjudice	•		
14	Disclosure of personal information relating to physical or mental health may be made to a requestor in the presence of a qualified medical practitioner or psychologist / Le cas échéant, communiquer à l'individu les renseignements personnels concernant son état physique ou mental en la présence d'un médecin ou d'un psychologue en situation légale d'exercice	•	•	•

Dated, at the City of Ottawa,	Daté, en la ville d'Ottawa,
this 18 day of January , 2012	ce 18 jour de janvier , 2012
	10 Eus

Hon. Vic Toews, P.C., Q.C., M.P. / L'hon. Vic Toews, C.P., c.r., député

*R.S.C. 1985, c. P-21

*L.R.C. 1985, ch. P-21

ANNEX B Statistical Report

Statistical Report on the Privacy Act

Name of institution: Civilian Review and Complaints Commission for the RCMP

Reporting period: 2014-04-01 to 2015-03-31

1: Requests Under the Privacy Act

	Number of Requests
Received during reporting period	3
Outstanding from previous reporting period	0
Total	3
Closed during reporting period	3
Carried over to next reporting period	0

Part 2: Requests Closed During the Reporting Period

2.1 Disposition and completion time

	Completion Time								
Disposition of Requests	1 to 15 Days	16 to 30 Days	31 to 60 Days	61 to 120 Days		181 to 365 Days	More Than 365 Days	Total	
All disclosed	0	0	0	1	0	0	0	1	
Disclosed in part	0	0	1	0	0	0	0	1	
All exempted	0	0	0	0	0	0	0	0	
All excluded	0	0	0	0	0	0	0	0	
No records exist	1	0	0	0	0	0	0	1	
Request abandoned	0	0	0	0	0	0	0	0	
Neither confirmed nor denied	0	0	0	0	0	0	0	0	
Total	1	0	1	1	0	0	0	3	



2.2 Exemptions

Section	Number of Requests	Section	Number of Requests	Section	Number of Requests
18(2)	0	22(1)(a)(i)	0	23(a)	0
19(1)(a)	0	22(1)(a)(ii)	0	23(b)	0
19(1)(b)	0	22(1)(a)(iii)	0	24(a)	0
19(1)(c)	0	22(1)(b)	0	24(b)	0
19(1)(d)	0	22(1)(c)	0	25	0
19(1)(e)	0	22(2)	0	26	1
19(1)(f)	0	22.1	0	27	0
20	0	22.2	0	28	0
21	0	22.3	0		

2.3 Exclusions

Section	Number of Requests	Section	Number of Requests	Section	Number of Requests
69(1)(a)	0	70(1)	0	70(1)(d)	0
69(1)(b)	0	70(1)(a)	0	70(1)(e)	0
69.1	0	70(1)(b)	0	70(1)(f)	0
		70(1)(c)	0	70.1	0

2.4 Format of information released

Disposition	Paper	Electronic	Other formats
All disclosed	1	0	0
Disclosed in part	1	0	0
Total	2	0	0

2.5 Complexity

2.5.1 Relevant pages processed and disclosed

Disposition of Requests	Number of Pages Processed	Number of Pages Disclosed	Number of Requests
All disclosed	353	213	1
Disclosed in part	70	70	1
All exempted	0	0	0
All excluded	0	0	0
Request abandoned	0	0	0
Neither confirmed nor			
denied	0	0	0
Total	423	283	2

2.5.2 Relevant pages processed and disclosed by size of requests

		nan 100 rocessed	101-500 Pages Processed		501-1000 Pages Processed		1001-5000 Pages Processed		More Than 5000 Pages Processed	
Disposition	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed
All disclosed	0	0	1	213	0	0	0	0	0	0
Disclosed in part	1	70	0	0	0	0	0	0	0	0
All exempted	0	0	0	0	0	0	0	0	0	0
All excluded	0	0	0	0	0	0	0	0	0	0
Request abandoned	0	0	0	0	0	0	0	0	0	0
Neither confirmed nor denied	0	0	0	0	0	0	0	0	0	0
Total	1	70	1	213	0	0	0	0	0	0

2.5.3 Other complexities

Disposition	Consultation Required	Legal Advice Sought	Interwoven Information	Other	Total
All disclosed	1	0	0	0	1
Disclosed in part	1	0	0	0	1
All exempted	0	0	0	0	0
All excluded	0	0	0	0	0
Request abandoned	0	0	0	0	0
Neither confirmed nor denied	0	0	0	0	0
Total	2	0	0	0	2

2.6 Deemed refusals

2.6.1 Reasons for not meeting statutory deadline

Number of Requests Closed Past	Principal Reason						
-		External	Internal				
the Statutory Deadline	Workload	Consultation	Consultation	Other			
0	0	0	0	0			

2.6.2 Number of days past deadline

Number of Days Past Deadline	Number of Requests Past Deadline Where No Extension Was Taken	Number of Requests Past Deadline Where An Extension Was Taken	Total
1 to 15 days	0	0	0
16 to 30 days	0	0	0
31 to 60 days	0	0	0
61 to 120 days	0	0	0
121 to 180 days	0	0	0
181 to 365 days	0	0	0
More than 365 days	0	0	0
Total	0	0	0

2.7 Requests for translation

Translation Requests	Accepted	Refused	Total
English to French	0	0	0
French to English	0	0	0
Total	0	0	0

Part 3: Disclosures Under Subsections 8(2) and 8(5)

Paragraph 8(2)(e)	Paragraph 8(2)(m)	Subsection 8(5)	Total		
0	0	0	0		

Part 4: Requests for Correction of Personal Information and Notations

Disposition for Correction Requests Received	Number
Notations attached	0
Requests for correction accepted	0
Total	0

Part 5: Extensions

5.1 Reasons for extensions and disposition of requests

	15(a)(i)	15(a Consu	15(b)	
Disposition of Requests Where an Extension Was Taken	Interference With Operations	Section 70	Other	Translation or Conversion
All disclosed	0	0	1	0
Disclosed in part	0	0	1	0
All exempted	0	0	0	0
All excluded	0	0	0	0
No records exist	0	0	0	0
Request abandoned	0	0	0	0
Total	0	0	2	0

5.2 Length of extensions

	15(a)(i)	15(a Const	15(b)	
Length of Extensions	Interference with operations	Section 70	Other	Translation purposes
1 to 15 days	0	0	0	0
16 to 30 days	0	0	2	0
Total	0	0	2	0

Part 6: Consultations Received From Other Institutions and Organizations

6.1 Consultations received from other Government of Canada institutions and other organizations

Consultations	Other Government of Canada Institutions	Number of Pages to Review	Other Organizations	Number of Pages to Review
Received during the reporting period	25	451	0	0
Outstanding from the previous reporting period	1	54	0	0
Total	26	505	0	0
Closed during the reporting period	25	491	0	0
Pending at the end of the reporting period	1	14	0	0

6.2 Recommendations and completion time for consultations received from other Government of Canada institutions

	Numl	Number of Days Required to Complete Consultation Requests							
Recommendation	1 to 15 Days	16 to 30 Days	31 to 60 Days	61 to 120 Days	121 to 180 Days	181 to 365 Days	More Than 365 Days	Total	
All disclosed	16	2	0	0	0	0	0	18	
Disclosed in part	6	1	0	0	0	0	0	7	
All exempted	0	0	0	0	0	0	0	0	
All excluded	0	0	0	0	0	0	0	0	
Consult other institution	0	0	0	0	0	0	0	0	
Other	0	0	0	0	0	0	0	0	
Total	22	3	0	0	0	0	0	25	

6.3 Recommendations and completion time for consultations received from other organizations

	Number of days required to complete consultation requests							
			31 to 60 Days		121 to 180	181 to 365	More Than 365	Total
Recommendation	Days	Days	Days	Days	Days	Ddays	Days	TOLAI
All disclosed	0	0	0	0	0	0	0	0
Disclosed in part	0	0	0	0	0	0	0	0
All exempted	0	0	0	0	0	0	0	0
All excluded	0	0	0	0	0	0	0	0
Consult other institution	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0

Part 7: Completion Time of Consultations on Cabinet Confidences

7.1 Requests with Legal Services

		han 100 ocessed	101-500 Pages Processed		501-1000 Pages Processed		1001-5000 Pages Processed		More than 5000 Pages Processed	
Number of Days	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed
1 to 15	0	0	0	0	0	0	0	0	0	0
16 to 30	0	0	0	0	0	0	0	0	0	0
31 to 60	0	0	0	0	0	0	0	0	0	0
61 to 120	0	0	0	0	0	0	0	0	0	0
121 to 180	0	0	0	0	0	0	0	0	0	0
181 to 365	0	0	0	0	0	0	0	0	0	0
More than 365	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0	0

7.2 Requests with Privy Council Office

		han 100 ocessed		0 Pages essed		1000 rocessed		-5000 rocessed		an 5000 rocessed
Number of Days	Number of Requests	Pages Disclosed								
1 to 15	0	0	0	0	0	0	0	0	0	0
16 to 30	0	0	0	0	0	0	0	0	0	0
31 to 60	0	0	0	0	0	0	0	0	0	0
61 to 120	0	0	0	0	0	0	0	0	0	0
121 to 180	0	0	0	0	0	0	0	0	0	0
181 to 365	0	0	0	0	0	0	0	0	0	0
More than 365	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0	0

Part 8: Complaints and Investigations Notices Received

Section 31	Section 33	Section 35	Court action	Total
0	0	0	0	0

Part 9: Privacy Impact Assessments (PIAs)

Number of PIA(s) completed 0

Part 10: Resources Related to the Privacy Act

10.1 Costs

Expenditures	Amount	
Salaries	\$3,220	
Overtime		\$0
Goods and Services		\$0
Professional services contracts	\$0	
Other	\$0	
Total		\$3,220

10.2 Human Resources

Resources	Person Years Dedicated to Privacy Activities
Full-time employees	0.03
Part-time and casual employees	0.00
Regional staff	0.00
Consultants and agency personnel	0.00
Students	0.00
Total	0.03

Note: Enter values to two decimal places.